



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

621 2621
#3
BT
01-15-02

In re application of: Timothy R. Block et al. : Date: November 26, 2001
Group Art Unit: 2621 : IBM Corporation
Examiner: Unassigned : Intellectual Property Law
Serial No. 09/827,804 : Dept. 917, Bldg. 006-1
Filed: April 6, 2001 : 3605 Highway 52 North
Title: NODE SHUTDOWN IN CLUSTERED : Rochester, MN 55901
COMPUTER SYSTEM

RECEIVED
JAN 0 8 2002
Technology Center 2600

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

RECEIVED
JAN 11 2002
Technology Center 2100

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No. 09/827,804
Docket No. ROC920000312US1

To the best knowledge of the undersigned, this Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(3) before the mailing of a first Office action on the merits in the present application. Accordingly no filing fee is required.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

November 26, 2001
(Date of Deposit)


Steven W. Roth

Respectfully submitted,

TIMOTHY R. BLOCK ET AL.

By 

Steven W. Roth

Attorney Reg. No. 34,712

IBM Corporation - Department 917

3605 Highway 52 North

Rochester, Minnesota 55901-7829

Telephone: 1-507-253-1600